



PATENT
Docket No. 11746/46002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Rothman et al.
Serial No. : 10/053,498
Filing Date : January 17, 2002
For : HEAT SHOCK PROTEIN-BASED VACCINES AND
IMMUNOTHERAPIES
Examiner : To Be Assigned
Art Unit : 1642

Commissioner for Patents
Washington D.C. 20231

I hereby certify that this correspondence is being deposited with the
United States Postal Service as first class mail in an envelope addressed
to: Commissioner for Patents, Washington, D.C. 20231 on
September 16, 2002.

By: Deborah A. Somerville
Deborah A. Somerville (Reg. No. 31,995)

PRELIMINARY AMENDMENT

SIR:

Applicants respectfully request that the accompanying Substitute Specification
and computer readable form (CRF) and paper form of the Sequence Listing be made of record.

Please amend the above-referenced patent application as follows:

IN THE SPECIFICATION:

Please replace the specification of record with the accompanying Substitute
Specification. In accordance with 37 CFR 1.125, the Substitute Specification is submitted in
clean form, along with a marked up version showing all the changes to the specification of
record.